



UBS Financial Services Inc.  
299 Park Avenue  
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New York NY 10171-0002

CNP7005654271 0716 Y1 0

# Resource Management Account

July 2016

GHISLAINE MAXWELL  
P.O. BOX 308  
TEANECK NJ 07666-0308

**Account name:** GHISLAINE MAXWELL  
**Friendly account name:** Ind:Cash/Saving  
**Account number:** [REDACTED]

**Your Financial Advisor:**  
SCOTT STACKMAN/YLE CASRIEL  
Phone: 212-821-7000/800-308-3140

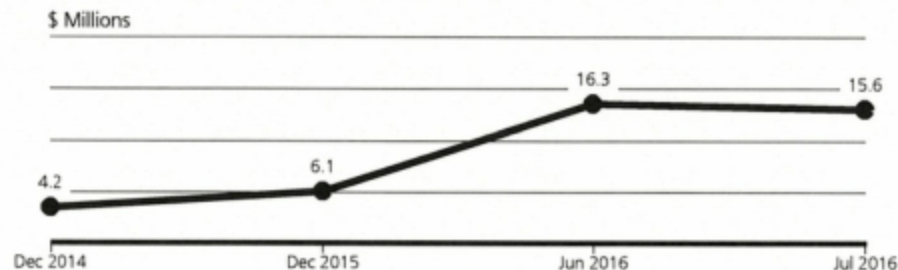
**Questions about your statement?**  
Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 029023575.

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on June 30 (\$)	on July 29 (\$)
Your assets	16,274,758.15	15,623,167.44
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$16,274,758.15</b>	<b>\$15,623,167.44</b>

## Tracking the value of your account



### Sources of your account growth during 2016

Value of your account at year end 2015	\$6,144,731.58
Net deposits and withdrawals	\$9,438,892.48
Your investment return:	
Dividend and interest income	\$32,525.64
Change in market value	\$7,017.74
<b>Value of your account on Jul 29, 2016</b>	<b>\$15,623,167.44</b>



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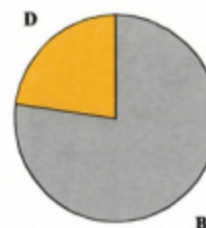
## Your account balance sheet

### Summary of your assets

	Value on July 29 (\$)	Percentage of your account
<b>A</b> Cash and money balances	0.00	0.00%
<b>B</b> Cash alternatives	12,095,232.89	77.42%
<b>C</b> Equities	0.00	0.00%
<b>D</b> Fixed income	3,527,934.55	22.58%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$15,623,167.44</b>	<b>100.00%</b>

**Value of your account** **\$15,623,167.44**

### Your current asset allocation



## Eye on the markets

Index	Percentage change	
	July 2016	Year to date
S&P 500	3.69%	7.66%
Russell 3000	3.97%	7.74%
MSCI - Europe, Australia & Far East	5.08%	0.83%
Barclays Capital U.S. Aggregate Bond Index	0.63%	5.98%

### Interest rates on July 29, 2016

3-month Treasury bills: 0.25%

One-month LIBOR: 0.50%



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## Change in the value of your account

	July 2016 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$16,274,758.15</b>	<b>\$6,144,731.58</b>
Deposits, including investments transferred in	8,379.11	14,626,293.34
Withdrawals and fees, including investments transferred out	-669,939.99	-5,187,400.86
Dividend and interest income	6,459.79	32,525.64
Change in market value	3,510.38	7,017.74
<b>Closing account value</b>	<b>\$15,623,167.44</b>	<b>\$15,623,167.44</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	July 2016 (\$)	Year to date (\$)
Taxable dividends	6,459.79	30,315.12
Taxable interest	0.00	36.79
<b>Total current year</b>	<b>\$6,459.79</b>	<b>\$30,351.91</b>
Prior year adjustment	0.00	2,173.73
<b>Total dividend &amp; interest</b>	<b>\$6,459.79</b>	<b>\$32,525.64</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	July 2016 (\$)	Year to date (\$)	gains and losses (\$)
Short term	0.00	0.00	43.40
Long term	0.00	0.00	3,481.27
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,524.67</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	July 2016 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$0.00</b>	<b>\$2,010,294.62</b>
<b>Additions</b>		
Deposits and other funds credited	8,379.11	14,626,293.34
Dividend and interest income	6,459.79	32,525.64
Proceeds from investment transactions	667,380.36	5,131,849.83
<b>Total additions</b>	<b>\$682,219.26</b>	<b>\$19,790,668.81</b>
<b>Subtractions</b>		
Checks and bill payments	-500,000.00	-3,000,000.00
Other funds debited	-169,939.99	-2,187,400.86
Funds withdrawn for investments bought	-12,279.27	-16,613,562.57
<b>Total subtractions</b>	<b>-\$682,219.26</b>	<b>-\$21,800,963.43</b>
<b>Net cash flow</b>	<b>\$0.00</b>	<b>-\$2,010,294.62</b>
<b>Closing balances</b>	<b>\$0.00</b>	<b>\$0.00</b>





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## UBS Bank USA Deposit Account APY

Interest period Jun 7 - Jul 7

Opening UBS Bank USA Deposit balance Jun 7	\$3,364.56
Closing UBS Bank USA Deposit balance Jul 7	\$5,819.48
Number of days in interest period	31
Average daily balance	\$1,374.58
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Moderate

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash alternatives

#### Money market funds

Money market funds are neither insured nor guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in these funds.

*Total reinvested* is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

*Cost basis* is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

*Unrealized (tax) gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

*Investment return* is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jul 29 (\$)	Value on Jul 29 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
UBS SELECT PRIME INSTITUTIONAL FUND	12,095,232.890	1.000	12,095,232.89	12,095,232.89	1.000	12,095,232.89			

EAI: \$47,171 Current yield: 0.39%

### Fixed income

#### Mutual funds

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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jul 29 (\$)	Value on Jul 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PUTNAM SHORT DURATION INCOME FUND Y									

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## Your assets » Fixed income » Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Jul 29 (\$)	Value on Jul 29 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Symbol: PSDYX									
Trade date: Jul 20, 15	348,605.578	10.040	3,500,005.25	3,500,005.25	10.050	3,503,486.06	3,480.81		LT
Total reinvested	2,432.686	10.031		24,404.63	10.050	24,448.49	43.86		
EAI: \$24,924 Current yield: 0.71%									
Security total	351,038.264	10.040	3,500,005.25	3,524,409.88		3,527,934.55	3,524.67	27,929.30	

## Your total assets

		Value on Jul 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash alternatives</b>	<b>Money market funds</b>	<b>12,095,232.89</b>	<b>77.42%</b>	<b>12,095,232.89</b>	<b>47,171.00</b>	
<b>Fixed income</b>	<b>Mutual funds</b>	<b>3,527,934.55</b>	<b>22.58%</b>	<b>3,524,409.88</b>	<b>24,924.00</b>	<b>3,524.67</b>
<b>Total</b>		<b>\$15,623,167.44</b>	<b>100.00%</b>	<b>\$15,619,642.77</b>	<b>\$72,095.00</b>	<b>\$3,524.67</b>

## Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Jul 1	Transfer	JOURNAL FROM Y1 23568 GHISLAINE MAXWELL	2,133.03
	Jul 1	Transfer	JOURNAL FROM Y1 23572 GHISLAINE MAXWELL	5,810.08
	Jul 1	Transfer	JOURNAL FROM Y1 23574 GHISLAINE MAXWELL	436.00
		<b>Total deposits and other funds credited</b>		<b>\$8,379.11</b>
<b>Dividend and interest income</b>	Date	Activity	Description	Amount (\$)
<i>Taxable dividends</i>	Jul 1	Dividend	PUTNAM SHORT DURATION INCOME FUND Y AS OF 06/30/16	2,324.17
	Jul 29	Dividend	UBS SELECT PRIME INSTITUTIONAL FUND	4,135.62
		<b>Total taxable dividends</b>		<b>\$6,459.79</b>
		<b>Total dividend and interest income</b>		<b>\$6,459.79</b>
<b>Bill payments</b>	Date	Activity	Description	Amount (\$)
	Jul 27	Withdrawal	ACH TO Tidewood LLC AT CITIZENS BANK, NA	-500,000.00
		<b>Total bill payments</b>		<b>-\$500,000.00</b>
<b>Other funds debited</b>	Date	Activity	Description	Amount (\$)
	Jul 5	Transfer	JOURNAL TO Y1 23692 116 EAST 65TH STREET LLC	-76.60

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### Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b> (continued)	Jul 7	Transfer	JOURNAL TO Y1 23692 116 EAST 65TH STREET LLC	-2,483.03
	Jul 15	Transfer	TO Y1 23568 7100	-1,600.00
	Jul 15	Transfer	TO Y1 23574 1100	-2,200.00
	Jul 18	Transfer	JOURNAL TO Y1 23692 116 EAST 65TH STREET LLC	-80.36
	Jul 20	Transfer	JOURNAL TO Y1 23692 116 EAST 65TH STREET LLC	-3,500.00
	Jul 27	Withdrawal	FEDERAL FUNDS TO Haddon, Morgan and Foreman, AT WELLS FARGO BANK, NA	-160,000.00
	<b>Total other funds debited</b>			<b>-\$169,939.99</b>

### Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jul 1	Reinvestment	PUTNAM SHORT DURATION INCOME FUND Y DIVIDEND REINVESTED AT 10.04 NAV ON 06/30/16 AS OF 06/30/16	231.491				-2,324.17	
Jul 14	Bought	UBS SELECT PRIME INSTITUTIONAL FUND	5,819.480				-5,819.48	
Jul 15	Sold	UBS SELECT PRIME INSTITUTIONAL FUND	-3,800.000			3,800.00		
Jul 18	Sold	UBS SELECT PRIME INSTITUTIONAL FUND	-80.360			80.36		
Jul 20	Sold	UBS SELECT PRIME INSTITUTIONAL FUND	-3,500.000			3,500.00		
Jul 27	Sold	UBS SELECT PRIME INSTITUTIONAL FUND	-500,000.000			500,000.00		
Jul 27	Sold	UBS SELECT PRIME INSTITUTIONAL FUND	-160,000.000			160,000.00		
Jul 29	Reinvestment	UBS SELECT PRIME INSTITUTIONAL FUND DIVIDEND REINVESTED AT 1.00 NAV ON 07/29/16	4,135.620				-4,135.62	
<b>Total</b>						<b>\$667,380.36</b>	<b>-\$12,279.27</b>	

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Jun 30</b>	<b>Balance forward</b>		<b>\$0.00</b>
	Jul 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT	8,379.11
	Jul 6	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/05/16	-76.60
	Jul 8	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/07/16	-2,483.03

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Jul 15	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/14/16	-5,819.48
	Jul 29	Closing UBS Bank USA Deposit Account		\$0.00

The UBS Bank USA Deposit Account is your primary sweep option.