

From: Mark Steward <Mark.Steward@fca.org.uk>

To: "[REDACTED] (USANYS)" <[REDACTED]>, Kate Tuckley
<Kate.Tuckley@fca.org.uk>

Cc: [REDACTED] "[REDACTED] (USANYS)"
<[REDACTED]>, Kelly Eldred <Kelly.Eldred@fca.org.uk>, Harry Caldecott
<Harry.Caldecott@fca.org.uk>, Kelly Eldred <Kelly.Eldred@fca.org.uk>, Harry Caldecott
<Harry.Caldecott@fca.org.uk>, Kelly Eldred <Kelly.Eldred@fca.org.uk>, Harry Caldecott
<Harry.Caldecott@fca.org.uk>

Subject: RE: Confidential & Urgent

Date: Tue, 03 Dec 2019 18:05:55 +0000

Inline-Images: image001.png; image002.png; image003.png



We've only received the additional material today; it is voluminous which means we won't be in a position for any meaningful conversation tomorrow. I suspect not before Friday either. Can we pencil in 3-4 pm on Friday and will confirm on Thursday ?

Cheers

Mark Steward

Executive Director

Enforcement and Market Oversight



12 Endeavour Square

London

E20 1JN

Tel: +44 (0)20 7066 1600

www.fca.org.uk

Follow us:



Private Secretary: Harry Caldecott (harry.caldecott@fca.org.uk)

Personal Assistant: Kelly Eldred (kelly.eldred@fca.org.uk)

From: [REDACTED] (USANYS) [mailto:[REDACTED]]

Sent: 03 December 2019 17:18

To: Mark Steward <Mark.Steward@fca.org.uk>; Kate Tuckley <Kate.Tuckley@fca.org.uk>

Cc: Cowley, Jason (USANYS) <Jason.Cowley@usdoj.gov>; [REDACTED] (USANYS) <[REDACTED]>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>

Subject: RE: Confidential & Urgent

Mark – Hope you had a good weekend and just wanted to circle back on this. Realizing it is starting to get a bit late in the day in London, would tomorrow morning (New York time) work for a follow up call on this? Perhaps around 10am our time/3pm in London? If so, we can circulate another dial in.

Thanks,

EFTA00018119

From: Mark Steward <Mark.Steward@fca.org.uk>
Sent: Thursday, November 28, 2019 12:59 PM
To: [REDACTED] (USANYS) <[REDACTED]>; Kate Tuckley <Kate.Tuckley@fca.org.uk>
Cc: [REDACTED] <[REDACTED]>; [REDACTED] (USANYS) <[REDACTED]>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>
Subject: RE: Confidential & Urgent

Thanks for taking the call today and look forward to speaking again early next week. And happy Thanksgiving !

Kind regards

Mark Steward
Executive Director
Enforcement and Market Oversight



12 Endeavour Square
London
E20 1JN
Tel: +44 (0)20 7066 1600
www.fca.org.uk

Follow us:



Private Secretary: Harry Caldecott (harry.caldecott@fca.org.uk)
Personal Assistant: Kelly Eldred (kelly.eldred@fca.org.uk)

From: [REDACTED] (USANYS) [[mailto:\[REDACTED\]](mailto:[REDACTED])]
Sent: 28 November 2019 15:57
To: Kate Tuckley <Kate.Tuckley@fca.org.uk>
Cc: Mark Steward <Mark.Steward@fca.org.uk>; Cowley, Jason (USANYS) <Jason.Cowley@usdoj.gov>; [REDACTED] (USANYS) <[REDACTED]>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>
Subject: Re: Confidential & Urgent

To allow a few of my colleagues to join, can we instead use a dial in:



Thanks, and talk soon. [REDACTED]

Sent from my iPhone

On Nov 28, 2019, at 10:31 AM, Kate Tuckley <Kate.Tuckley@fca.org.uk> wrote:

Great much appreciated thanks

From: [REDACTED] (USANYS) [[mailto:\[REDACTED\]](mailto:[REDACTED])]

Sent: 28 November 2019 15:30

To: Kate Tuckley <Kate.Tuckley@fca.org.uk>

Cc: Mark Steward <Mark.Steward@fca.org.uk>; [REDACTED]; [REDACTED] (USANYS) <[REDACTED]>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>

Subject: Re: Confidential & Urgent

Okay, please call my cell: [REDACTED]

Sent from my iPhone

On Nov 28, 2019, at 10:25 AM, Kate Tuckley <Kate.Tuckley@fca.org.uk> wrote:

Hi [REDACTED]

I have spoken to Mark's business support and he is available at 4.15pm (UK time) today (ie in just under an hour's time). It is quite urgent so if we could speak then it would be greatly appreciated. If that does work please let us know what number to call you on?

Many thanks

From: [REDACTED] (USANYS) [[mailto:\[REDACTED\]](mailto:[REDACTED])]

Sent: 28 November 2019 14:53

To: Mark Steward <Mark.Steward@fca.org.uk>

Cc: [REDACTED]; [REDACTED] (USANYS) <[REDACTED]>; Kate Tuckley <Kate.Tuckley@fca.org.uk>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>

Subject: Re: Confidential & Urgent

Hi Mark - Thanks for reaching out. We do have an active investigation and would be happy to talk. As you likely know, today is a holiday in the US. Is this something we could discuss on Monday or is it more urgent than that? Thanks, [REDACTED]

Sent from my iPhone

On Nov 28, 2019, at 9:28 AM, Mark Steward <Mark.Steward@fca.org.uk> wrote:

Dear [REDACTED], Can I ask whether this is still an active matter ? if so, I wonder if we could speak when it is convenient.

Cheers

Mark Steward

Executive Director

Enforcement and Market Oversight

<[image001.png](#)>

12 Endeavour Square

London

E20 1JN

Tel: +44 (0)20 7066 1600

www.fca.org.uk

EFTA00018121

Follow us:

[<image002.png>](#)

[<image003.png>](#)

Private Secretary: Harry Caldecott (harry.caldecott@fca.org.uk)

Personal Assistant: Kelly Eldred (kelly.eldred@fca.org.uk)

From: [REDACTED]

Sent: 28 November 2019 14:25

To: Mark Steward <Mark.Steward@fca.org.uk>; [REDACTED] (USANYS) <[REDACTED]>; [REDACTED] (USANYS) <[REDACTED]>

Cc: Kate Tuckley <Kate.Tuckley@fca.org.uk>; Kelly Eldred <Kelly.Eldred@fca.org.uk>; Harry Caldecott <Harry.Caldecott@fca.org.uk>

Subject: Re: Confidential & Urgent

Looping in [REDACTED]. They run our [REDACTED] unit and oversee the Epstein case.

Sent from my iPhone

On Nov 28, 2019, at 8:30 AM, Mark Steward <Mark.Steward@fca.org.uk> wrote:

Hi [REDACTED]

Can you let me know, urgently, whether anyone in your office is handling the investigation into Jeffery Epstein, especially anything in relation to JP Morgan (he was a client of their private wealth division) and, if so, who, as we need to have a chat. If this is not in SDNY, do you know who is handling ongoing work into Mr E's world ?

Cheers

Mark Steward

Executive Director

Enforcement and Market Oversight

[<image001.png>](#)

12 Endeavour Square

London

E20 1JN

Tel: +44 (0)20 7066 1600

www.fca.org.uk

Follow us:

[<image002.png>](#)

[<image003.png>](#)

Private Secretary: Harry Caldecott (harry.caldecott@fca.org.uk)

Personal Assistant: Kelly Eldred (kelly.eldred@fca.org.uk)

This communication and any attachments may contain personal information. For more information about how and why we use personal information and who to contact with any queries about this, please see our privacy notices: FCA Privacy Notice (<https://www.fca.org.uk/data-protection>) and PSR Privacy Notice (<https://www.psr.org.uk/cookies-privacy-and-data-protection>).

This communication and any attachments contain information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify postmaster@fca.org.uk immediately and delete the email from your computer. Further information on the classification and handling of FCA information can be found on the FCA website (<http://www.fca.org.uk/site-info/legal/fca-classified-information>).

The FCA (or, if this email originates from the Payment Systems Regulator Limited, the FCA on behalf of the Payment Systems Regulator Limited / the Payment Systems Regulator Limited) reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from the Financial Conduct Authority (FCA), or the Payment Systems Regulator Limited.

The Financial Conduct Authority (FCA) is registered as a limited company in England and Wales No. 1920623. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

The Payment Systems Regulator Limited is registered as a limited company in England and Wales No. 8970864. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

Switchboard 020 7066 1000

Web Site <http://www.fca.org.uk> (FCA); <http://www.psr.org.uk> (the Payment Systems Regulator Limited)

This communication and any attachments may contain personal information. For more information about how and why we use personal information and who to contact with any queries about this, please see our privacy notices: FCA Privacy Notice (<https://www.fca.org.uk/data-protection>) and PSR Privacy Notice (<https://www.psr.org.uk/cookies-privacy-and-data-protection>).

This communication and any attachments contain information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify postmaster@fca.org.uk immediately and delete the email from your computer. Further information on the classification and handling of FCA information can be found on the FCA website (<http://www.fca.org.uk/site-info/legal/fca-classified-information>).

The FCA (or, if this email originates from the Payment Systems Regulator Limited, the FCA on behalf of the Payment Systems Regulator Limited / the Payment Systems Regulator Limited) reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from the Financial Conduct Authority (FCA), or the Payment Systems Regulator Limited.

The Financial Conduct Authority (FCA) is registered as a limited company in England and Wales No. 1920623. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

The Payment Systems Regulator Limited is registered as a limited company in England and Wales No. 8970864. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

Switchboard 020 7066 1000

Web Site <http://www.fca.org.uk> (FCA); <http://www.psr.org.uk> (the Payment Systems Regulator Limited)

This communication and any attachments may contain personal information. For more information about how and why we use personal information and who to contact with any queries about this, please see our privacy notices: FCA Privacy Notice (<https://www.fca.org.uk/data-protection>) and PSR Privacy Notice (<https://www.psr.org.uk/cookies-privacy-and-data-protection>).

This communication and any attachments contain information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify postmaster@fca.org.uk immediately and delete the email from your computer. Further information on the classification and handling of FCA information can be found on the FCA website (<http://www.fca.org.uk/site-info/legal/fca-classified-information>).

The FCA (or, if this email originates from the Payment Systems Regulator Limited, the FCA on behalf of the Payment Systems Regulator Limited / the Payment Systems Regulator Limited) reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from the Financial Conduct Authority (FCA), or the Payment Systems Regulator Limited.

The Financial Conduct Authority (FCA) is registered as a limited company in England and Wales No. 1920623. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

The Payment Systems Regulator Limited is registered as a limited company in England and Wales No. 8970864. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

Switchboard 020 7066 1000

Web Site <http://www.fca.org.uk> (FCA); <http://www.psr.org.uk> (the Payment Systems Regulator Limited)

This communication and any attachments may contain personal information. For more information about how and why we use personal information and who to contact with any queries about this, please see our privacy notices: FCA Privacy Notice (<https://www.fca.org.uk/data-protection>) and PSR Privacy Notice (<https://www.psr.org.uk/cookies-privacy-and-data-protection>).

This communication and any attachments contain information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify postmaster@fca.org.uk immediately and delete the email from your computer. Further information on the classification and handling of FCA information can be found on the FCA website (<http://www.fca.org.uk/site-info/legal/fca-classified-information>).

The FCA (or, if this email originates from the Payment Systems Regulator Limited, the FCA on behalf of the Payment Systems Regulator Limited / the Payment Systems Regulator Limited) reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from the Financial Conduct Authority (FCA), or the Payment Systems Regulator Limited.

The Financial Conduct Authority (FCA) is registered as a limited company in England and Wales No. 1920623. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

The Payment Systems Regulator Limited is registered as a limited company in England and Wales No. 8970864. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

Switchboard 020 7066 1000

Web Site <http://www.fca.org.uk> (FCA); <http://www.psr.org.uk> (the Payment Systems Regulator Limited)

This communication and any attachments may contain personal information. For more information about how and why we use personal information and who to contact with any queries about this, please see our privacy notices: FCA Privacy Notice (<https://www.fca.org.uk/data-protection>) and PSR Privacy Notice (<https://www.psr.org.uk/cookies-privacy-and-data-protection>).

This communication and any attachments contain information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify postmaster@fca.org.uk immediately and delete the email from your computer. Further information on the classification and handling of FCA information can be found on the FCA website (<http://www.fca.org.uk/site-info/legal/fca-classified-information>).

The FCA (or, if this email originates from the Payment Systems Regulator Limited, the FCA on behalf of the Payment Systems Regulator Limited / the Payment Systems Regulator Limited) reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from the Financial Conduct Authority (FCA), or the Payment Systems Regulator Limited.

The Financial Conduct Authority (FCA) is registered as a limited company in England and Wales No. 1920623. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

The Payment Systems Regulator Limited is registered as a limited company in England and Wales No. 8970864. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

Switchboard 020 7066 1000

Web Site <http://www.fca.org.uk> (FCA); <http://www.psr.org.uk> (the Payment Systems Regulator Limited)

This communication and any attachments may contain personal information. For more information about how and why we use personal information and who to contact with any queries about this, please see our privacy notices: FCA Privacy Notice (<https://www.fca.org.uk/data-protection>) and PSR Privacy Notice (<https://www.psr.org.uk/cookies-privacy-and-data-protection>).

This communication and any attachments contain information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify postmaster@fca.org.uk immediately and delete the email from your computer. Further information on the classification and handling of FCA information can be found on the FCA website (<http://www.fca.org.uk/site-info/legal/fca-classified-information>).

The FCA (or, if this email originates from the Payment Systems Regulator Limited, the FCA on behalf of the

Payment Systems Regulator Limited / the Payment Systems Regulator Limited) reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from the Financial Conduct Authority (FCA), or the Payment Systems Regulator Limited.

The Financial Conduct Authority (FCA) is registered as a limited company in England and Wales No. 1920623. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

The Payment Systems Regulator Limited is registered as a limited company in England and Wales No. 8970864. Registered office: 12 Endeavour Square, Stratford, London, E20 1JN, United Kingdom

Switchboard 020 7066 1000

Web Site <http://www.fca.org.uk> (FCA); <http://www.psr.org.uk> (the Payment Systems Regulator Limited)